



**Tannenbaum Helpern  
Syracuse & Hirschtritt** LLP

Providing Solutions®

## Hedge Fund Litigation and Dispute Resolution

*The Group provides clients with a cohesive, multi-disciplinary, experienced legal team, with a focus on providing strategic advice and effective solutions.*

Tannenbaum Helpern Syracuse & Hirschtritt LLP has formed a Hedge Fund Litigation and Dispute Resolution Group in response to the turbulence in the financial industry.

The Hedge Fund Litigation Group is comprised of attorneys from several of Tannenbaum Helpern's standing practice areas, including Financial Services, Private Funds, Litigation, Creditors' Rights and Business Reorganizations and Taxation. The Group provides clients with a cohesive, multi-disciplinary, experienced legal team, with a focus on providing strategic advice and effective solutions to the diverse and complex issues arising in connection with disputes relating to investment management, hedge funds, investor claims, governmental/regulatory investigations, and directors and officers liability matters. The Group also handles dispute resolution in Federal and State courts, regulatory proceedings, arbitrations and mediations. Because of the law firm's longstanding presence in financial services, it is unique in its ability to manage these complex issues often in coordination with legal counsel in the various offshore jurisdictions and overseas. The Group allows Tannenbaum Helpern to provide maximum responsiveness and cost-efficient measures in representing funds, managers and advisers in matters that include:

- Regulatory inspections and significant investigations by the SEC, FINRA and CFTC
- Defending claims and litigation against funds, managers and advisers and directors and officers
- Tax issues, including IRS audits, that arise out of distressed investments
- Disputes relating to swaps (ISDA) and OTC instruments
- ERISA implications facing fiduciaries with regard to hedge fund investments and disputes

With its specific focus, the Group allows Tannenbaum Helpern to remain on the forefront of industry trends and legal challenges that impact the financial services industry.

*Members of the Group are uniquely qualified to provide coordinated and integrated sophisticated legal guidance and practical solutions.*

## Members of the Hedge Fund Litigation Group: Years of Experience and Breadth of Expertise

Members of the Group bring more than 100 years of combined service actively representing and advising clients with respect to commercial litigation, creditor's rights, taxation, and financial services among other matters. As a result, the members of the Group are uniquely qualified to provide coordinated and integrated sophisticated legal guidance and practical solutions.

Clients represented by Tannenbaum Helpern in these various financial services activities include nationally and internationally recognized investment managers, hedge funds (both U.S. and non-U.S. based), sponsors, family offices, seed investors and asset allocators. The firm's clients include both registered and un-registered investment advisers. The firm regularly provides advice with regard to regulation and registration, formation and organization of hedge fund products, swaps (ISDA) and OTC derivative matters, structured finance, ERISA and tax exempt planning considerations, estate planning and taxation in each case with significant focus on the financial services, private funds, and capital markets arena.

Tannenbaum Helpern Syracuse & Hirschtritt LLP combines a powerful mix of insight, creativity, industry knowledge, senior level talent and transaction expertise to successfully guide clients through periods of challenge and opportunity in the following areas:

- Corporate, Capital Formation and SEC, CFTC and FINRA Regulation
- Financial Services, Private Investment Funds and Capital Markets
- Mergers and Acquisitions and Commercial Business Matters
- Real Estate, Construction and Environmental Law
- Creditors Rights, Bankruptcy and Business Reorganizations
- Employment Law, ERISA and Executive Compensation
- Litigation and Dispute Resolution
- Franchising, Distribution and E-Commerce
- Tax Law
- Estate, Gift and Succession Planning
- Intellectual Property

We take time to understand the issues our clients face. Through our commitment to service and successful results, we continue to earn the loyalty of our clients and justify a reputation for excellence. To learn more about Tannenbaum Helpern Syracuse & Hirschtritt LLP, please call us at 212.508.6700 or visit [www.thshlaw.com](http://www.thshlaw.com).



**Tannenbaum Helpern**  
**Syracuse & Hirschtritt** LLP

**NEW YORK**    **LONDON**  
900 Third Avenue    84 Brook Street  
212.508.6700    +44.20.7665.1632